

does not separate his Platonic theory of pre-existent exemplars from the Aristotelian doctrine of the universal as in the individuals. As Ueberweg points out, his theory is rather a result of the transference of the Aristotelian conception of substance to the Platonic Idea, and of an identification of the relation of accidents to the substance in which they inhere with that of the individuals to the Idea of which, in the Platonic doctrine, they are copies (*Hist. of Philosophy*, i. 363, Eng. trans.). Hence it may be said that the universals are in the individuals, constituting their essential reality (and it is an express part of Erigena's system that the created but creative Word, the second division of Nature, should pass into the third stage of created and non-creating things); or rather, perhaps, we ought to say that the individuals exist in the bosom of their universal. At all events, while Erigena's Realism is pronounced, the Platonic and Aristotelian forms of the doctrine are not distinguished in his writings. Prantl has professed to find the headstream of Nominalism also in Scotus Erigena; but beyond the fact that he discusses at considerable length the categories of thought and their mutual relations, occasionally using the term "voces" to express his meaning, Prantl appears to adduce no reasons for an assertion which directly contradicts Erigena's most fundamental doctrines. Moreover Erigena again and again declares that dialectic has to do with the stadia of a real or divine classification:—"Intelligitur quod ars illa, quae dividit genera in species et species in genera resolvit, quae *διαλεκτική* dicitur, non ab humanis machinationibus sit facta, sed in natura rerum ab auctore omnium artium, quae verae artes sunt, condita et a sapientibus inventa" (*De Divisione Naturae*, iv. 4).

influence of Boetius.

The immediate influence of Erigena's system cannot have been great, and his works seem soon to have dropped out of notice in the centuries that followed. The real germs of Realism and Nominalism, as they took shape in mediæval thought, are to be found in the 9th century, in scattered commentaries and glosses (mostly still in manuscript) upon the statements of Porphyry and Boetius. Boetius in commenting upon Porphyry had already started the discussion as to the nature of universals. He is definitely anti-Platonic, and his language sometimes takes even a nominalistic tone, as when he declares that the species is nothing more than a thought or conception gathered from the substantial similarity of a number of dissimilar individuals. The expression "substantial similarity" is still, however, sufficiently vague to cover a multitude of views. He concludes that the genera and species exist as universals only in thought; but, inasmuch as they are collected from singulars on account of a real resemblance, they have a certain existence independently of the mind, but not an existence disjoined from the singulars of sense. "Subsistunt ergo circa sensibilia, intelliguntur autem praeter corpora." Or, according to the phrase which recurs so often during the Middle Ages, "universale intelligitur, singulare sentitur." Boetius ends by declining to adjudicate between Plato and Aristotle, remarking in a semi-apologetic style that, if he has expounded Aristotle's opinion by preference, his course is justified by the fact that he is commenting upon an introduction to Aristotle. And, indeed, his discussion cannot claim to be more than semi-popular in character. The point in dispute has not in his hands the all-absorbing importance it afterwards attained, and the keenness of later distinctions is as yet unknown. In this way, however, though the distinctions drawn may still be comparatively vague, there existed in the schools a Peripatetic tradition to set over against the Neoplatonic influence of John the Scot, and amongst the earliest remains of Scholastic thought we find this tradition asserting itself some-

what vigorously. There were Nominalists before Roscellinus among these early thinkers.

Alcuin, the first head of the school of the Palace, does nothing more in his *Dialectic* than abridge Boetius and the other commentators. But in the school of Fulda, presided over by his pupil Hrabanus Maurus (776-856), there are to be found some fresh contributions to the discussion. The collected works of Hrabanus himself contain nothing new, but in some glosses on Aristotle and Porphyry, first exhumed by Cousin, there are several noteworthy expressions of opinion in a Nominalistic sense. The author interprets Boetius's meaning to be "Quod eadem res individuum et species et genus est, et non esse universalis individuis quasi quoddam diversum." He also cites, apparently with approval, the view of those who held Porphyry's treatise to be not *de quinque rebus*, but *de quinque vocibus*. A genus, they said, is essentially something which is predicated of a subject; but a thing cannot be a predicate (*res enim non praedicatur*). These glosses, it should be added, however, have been attributed by Prantl and Kaulich, on the ground of divergence from doctrines contained in the published works of Hrabanus, to some disciple of his rather than to Hrabanus himself. Fulda had become through the teaching of the latter an intellectual centre. Eric or Heiricus, who studied there under Haimon, the successor of Hrabanus, and afterwards taught at Auxerre, wrote glosses on the margin of his copy of the pseudo-Augustinian *Categoriae*, which have been published by Cousin and Hauréau. He there says in words which recall the language of Locke (*Essay*, iii. 3) that because proper names are innumerable, and no intellect or memory would suffice for the knowing of them, they are all as it were comprehended in the species ("Sciendum autem, quia propria nomina primum sunt innumerabilia, ad quae cognoscenda intellectus nullus seu memoria sufficit, haec ergo omnia coartata species comprehendit, et facit primum gradum"). Taken in their strictness, these words state the position of extreme Nominalism; but even if we were not forbidden to do so by other passages, in which the doctrine of moderate Realism is adopted (under cover of the current distinction between the singular as felt and the pure universal as understood), it would still be unfair to press any passage in the writings of this period. As Cousin says, "Realism and Nominalism were undoubtedly there in germ, but their true principles with their necessary consequences remained profoundly unknown; their connexion with all the great questions of religion and politics was not even suspected. The two systems were nothing more as yet than two different ways of interpreting a phrase of Porphyry, and they remained unnoticed in the obscurity of the schools. . . . It was the 11th century which gave Nominalism to the world."¹

Remi or Remigius of Auxerre, pupil of Eric, became the most celebrated professor of dialectic in the Parisian schools of the 10th century. As he reverted to Realism, his influence, first at Rheims and then in Paris, was doubtless instrumental in bringing about the general acceptance of that doctrine till the advent of Roscellinus as a powerful disturbing influence. "There is one genus more general than the rest," says Remi (*apud* Hauréau, *De la Philosophie Scolastique*, i. 146), "beyond which the intellect cannot rise, called by the Greeks *οὐσία*, by the Latins *essentia*. The essence, indeed, comprehends all natures, and everything that exists is a portion of this essence, by participation in which everything that is hath its existence." And similarly with the intermediate genera. "Homo est multorum hominum substantialis unitas." Remigius is thus a Realist, as Hauréau remarks,

¹ *Ouvrages inédits d'Abélard*, introd., p. lxxxv.

not so much in the sense of Plato as in the spirit of Parmenides, and Hauréau applies to this form of Realism Bayle's description of Realism in general as "le Spinosisme non développé." The 10th century as a whole is especially marked out as a dark age, being partly filled with civil troubles and partly characterized by a reaction of faith against reason. In the monastery of St Gall there was considerable logical activity, but nothing of philosophical interest is recorded. The chief name of the century is that of Gerbert (died as Pope Sylvester II. in 1003). He studied at Aurillac under Otto of Clugny, the pupil of Remigius, and later among the Moors in Spain, and taught afterwards himself in the schools of Tours, Fleury, Sens, and Rheims. He was a man of universal attainments, but only his treatise *De Rationali et Ratione uti* need be mentioned here. It is more interesting as a display of the logical acquirements of the age than as possessing any direct philosophical bearing. The school of Chartres, founded in 990 by Fulbert, one of Gerbert's pupils, was distinguished for nearly two centuries not so much for its dialectics and philosophy as for its humanistic culture. The account which John of Salisbury gives of it in the first half of the 12th century, under the presidency of Theodoric and Bernard, gives a very pleasant glimpse into the history of the Middle Ages. Since then, says their regretful pupil, "less time and less care have been bestowed on grammar, and persons who profess all arts, liberal and mechanical, are ignorant of the primary art, without which a man proceeds in vain to the rest. For albeit the other studies assist literature, yet this has the sole privilege of making one lettered."¹

Hitherto, if dialectical studies had been sometimes viewed askance by the stricter churchmen it was not because logic had dared to stretch forth its hands towards the ark of God, but simply on the ground of the old opposition between the church and the world: these secular studies absorbed time and ability which might have been employed for the glory of God and the service of the church. But now bolder spirits arose who did not shrink from applying the distinctions of their human wisdom to the mysteries of theology. It was the excitement caused by their attempt, and the heterodox conclusions which were its first result, that lifted these Scholastic disputations into the central position which they henceforth occupied in the life of the Middle Ages. And whereas, up to this time, discussion had been in the main of a purely logical character, the next centuries show that peculiar combination of logic and theology which is the mark of Scholasticism, especially in the period before the 13th century. For reason, having already asserted itself so far, could not simply be put under a ban. Orthodoxy had itself to put on the armour of reason; and so panoplied its champions soon proved themselves superior to their antagonists on their own battlefield.

One of the first of these attacks was made by Berengarius of Tours (999-1088) upon the doctrine of transubstantiation; he denied the possibility of a change of substance in the bread and wine without some corresponding change in the accidents. Berengarius had studied at Chartres, where his exclusive devotion to dialectic caused Fulbert more than once to remonstrate with his pupil. According to the testimony of his opponent and former fellow-student, Lanfranc, he seems even in his student days to have been by temperament a rebel against authority. "When we were in the schools together," says Lanfranc, "it was your part always to collect authorities against the Catholic faith." M. de

¹ *Metaphisicis*, i. 27, quoted in Poole's *Illustrations of Mediæval Thought*.

Rémusat characterizes his view on the Eucharist as a specific application of Nominalism ("un nominalisme special ou restreint à une seule question"). More intimately connected with the progress of philosophical thought was the tritheistic view of the Trinity propounded by Roscellinus as one of the results of his Nominalistic theory of knowing and being. The sharpness and one-sidedness with which he formulated his position were the immediate occasion of the contemporaneous crystallization of Realism in the theories of Anselm and William of Champeaux. Henceforth discussion is carried on with a full consciousness of the differences involved and the issues at stake; and, thanks to the heretical conclusion disclosed by Roscellinus, Realism became established for several centuries as the orthodox philosophical creed. Roscellinus (*ob. c. 1125*) was looked upon by later times as the originator of the *sententia vocum*, that is to say, of Nominalism proper. Unfortunately, we are reduced for a knowledge of his position to the scanty and ill-natured notices of his opponents (Anselm and Abelard). From these we gather that he refused to recognize the reality of anything but the individual; he treated "the universal substance," says Anselm, as no more than "flatum vocis," a verbal breathing or sound; and in a similar strain he denied any reality to the parts of which a whole, such as a house, is commonly said to be composed. The parts in the one case, the general name or common attributes in the other, are only, he seems to have argued, so many subjective points of view from which we choose to regard that which in its own essence is one and indivisible, existing in its own right apart from any connexion with other individuals. This pure individualism, consistently interpreted, involves the denial of all real relation whatsoever; for things are related and classified by means of their general characteristics. Accordingly, if these general characteristics do not possess reality, things are reduced to a number of characterless and mutually indifferent points. It is possible, as Hauréau maintains, that Roscellinus meant no more than to refute the untenable Realism which asserts the substantial and, above all, the independent existence of the universals. Some of the expressions used by Anselm in controverting his position favour this idea, since they prove that the Realism of Anselm himself embraced positions discarded by the wiser advocates of that doctrine. Anselm upbraids Roscellinus, for example, because he was unable to conceive whiteness apart from its existence in something white. But this is precisely an instance of the hypostatization of abstractions in exposing which the chief strength and value of Nominalism lie. Cousin is correct in pointing out, from the Realistic point of view, that it is one thing to deny the hypostatization of an accident like colour or wisdom, and another thing to deny the foundation in reality of those "true and legitimate universals" which we understand by the terms genera and species. "The human race is not a word, or, if it is, we are driven to assert that there is really nothing common and identical in all men—that the brotherhood and equality of the human family are pure abstractions, and that, since individuality is the sole reality, the sole reality is difference, that is to say, hostility and war, with no right but might, no duty but interest, and no remedy but despotism. These are the sad but necessary consequences which logic and history impose upon Nominalism and Empiricism."² It is not for a moment to be supposed that the full scope of his doctrine was present to the mind of Roscellinus; but Nominalism would hardly have made the sensation it did had its assertions been as innocent as Hauréau would make them. Like most innovators, Roscellinus stated his posi-

² *Ouvrages inédits d'Abélard*, introd., p. cvi.

tion in bold language, which emphasized his opposition to accepted doctrines; and his words, if not his intentions, involved the extreme Nominalism which, by making universality merely subjective, pulverizes existence into detached particulars. And, though we may acquit Roscellinus of consciously propounding a theory so subversive of all knowledge, his criticism of the doctrine of the Trinity is proof at least of the determination with which he was prepared to carry out his individualism. If we are not prepared to say that the three Persons are one thing—in which case the Father and the Holy Ghost must have been incarnate along with the Son—then, did usage permit, he says, we ought to speak of three Gods.

It was this theological deduction from his doctrine that drew upon Roscellinus the polemic of his most celebrated opponent, Anselm of Canterbury (1033-1109). Roscellinus appears at first to have imagined that his tritheistic theory had the sanction of Lanfranc and Anselm, and the latter was led in consequence to compose his treatise *De Fide Trinitatis*. From this may be gathered, in a somewhat indirect and incidental fashion, his views on the nature of universals. "How shall he who has not arrived at understanding how several men are in species one man comprehend how in that most mysterious nature several persons, each of which is perfect God, are one God?" The manner in which humanity exists in the individual was soon to be the subject of keen discussion, and to bring to light diverging views within the Realistic camp; but St Anselm does not go into detail on this point, and seems to imply that it is not surrounded by special difficulties. In truth, his Realism, as has just been seen, was of a somewhat uncritical type. It was simply accepted by him in a broad way as the orthodox philosophic doctrine, and the doctrine which, as a sagacious churchman, he perceived to be most in harmony with Christian theology. But Anselm's heart was not in the dialectical subtleties which now began more and more to engross the schools. The only logical treatise which he wrote, *De Grammatica*, falls so far below the height of his reputation that it leads Prantl into undue depreciation of Anselm's eminence as a thinker. Anselm's natural element was theology, and the high metaphysical questions which are as it were the obverse of theology. Hauréau calls him with truth "the last of the fathers"; the sweep of his thought recalls St Augustine rather than the men of his own time. On the other hand, as the first to formulate the ontological argument for the existence of God, he joins hands with some of the profoundest names in modern philosophy. This celebrated argument, which fascinated in turn Descartes, Leibnitz, and Hegel, not to mention other names, appears for the first time in the pages of Anselm's *Proslogium*. To Anselm specially belongs the motto *Credo ut intelligam*, or, as it is otherwise expressed in the sub-title of his *Proslogium*, *Fides quaerens intellectum*. "His method," says Cousin (p. ci.), "is to set out from the sacred dogmas as they are given by the hand of authority, and without at any time departing from these dogmas to impregnate them by profound reflexion, and thus as it were raise the darkness visible of faith to the pure light of philosophy." In this spirit he endeavoured to give a philosophical demonstration not only of the existence of God but also of the Trinity and the Incarnation, which were placed by the later Scholastics among the "mysteries." The Christological theory of satisfaction expounded in the *Cur Deus Homo* falls beyond the scope of the present article. But the Platonically conceived proof of the being of God contained in the *Monologium* shows that Anselm's doctrine of the universals as substances in things (*universalia in re*) was closely connected in his mind with the thought of the *universalia ante rem*, the exemplars of

perfect goodness and truth and justice, by participation in which all earthly things are judged to possess these qualities. In this way he rises like Plato to the absolute Goodness, Justice, and Truth, and then proceeds in Neoplatonic fashion to a deduction of the Trinity as involved in the idea of the divine Word.

Besides its connexion with the speculations of Anselm, the doctrine of Roscellinus was also of decisive influence within the schools in crystallizing the opposite opinion. William of Champeaux is reputed the founder of a definitely formulated Realism, much as Roscellinus is regarded as the founder of Nominalism. William of Champeaux (1070-1121) was instructed by Roscellinus himself in dialectic. His own activity as a teacher belongs to the first years of the 12th century. He lectured in Paris in the cathedral school of Notre Dame till the year 1108, when he retired to the priory of St Victor on the outskirts of Paris. But soon afterwards, unable to resist the importunities of his friends and pupils, he resumed his lectures there, continuing them till his removal to the see of Châlons in 1113, and thus laying the foundation of the reputation which the monastery soon acquired. Unfortunately none of the philosophical works of William have survived, and we are forced to depend for an account of his doctrine upon the statements of his opponent Abelard, in the *Historia Calamitatum Mearum*, and in certain manuscripts discovered by Cousin. From these sources it appears that William professed successively two opinions on the nature of the universals, having been dislodged from his first position by the criticism of Abelard, his quondam pupil. There is no obscurity about William's first position. It is a Realism of the most uncompromising type, which by its reduction of individuals to accidents of one identical substance seems to tremble on the very verge of Spinozism. He taught, says Abelard, that the same thing or substance was present in its entirety and essence in each individual, and that individuals differed no whit in their essence but only in the variety of their accidents. "Erat autem in ea sententia de communitate universalium, ut eandem essentialiter rem totam simul singulis suis inesse adstrueret individuus, quorum quidem nulla esset in essentia diversitas, sed sola multitudine accidentium varietas." Thus "Socratitas" is merely an accident of the substance "humanitas," or, as it is put by the author of the treatise *De Generibus et Speciebus*,¹ "Man is a species, a thing essentially one (*res una essentialiter*), which receives certain forms which make it Socrates. This thing, remaining essentially the same, receives in the same way other forms which constitute Plato and the other individuals of the species man; and, with the exception of those forms which mould that matter into the individual Socrates, there is nothing in Socrates that is not the same at the same time under the forms of Plato. . . . According to these men, even though rationality did not exist in any individual, its existence in nature would still remain intact" (Cousin, *Introduction*, &c., p. cxx.). Robert Pulleyn expresses the same point of view concisely when he makes the Realist say, "Species una est substantia, ejus vero individua multae personae, et hae multae personae sunt illa una substantia." But the difficulties in the way of treating the universal as substance or thing are so insuperable, and at the same time so obvious, that criticism was speedily at work upon William of Champeaux's position. He had said expressly that the universal essence, by the addition

¹ This treatise, first published by Cousin in his *Ouvrages inédits d'Abelard*, was attributed by him to Abelard, and he was followed in this opinion by Hauréau; but Prantl adduces reasons which seem satisfactory for believing it to be the work of an unknown writer of somewhat later date (see Prantl, *Geschichte d. Logik*, ii. 143).

of the individual forms, was individualized and present *secundum totam suam quantitatem* in each individual. But if *homo* is wholly and essentially present in Socrates, then it is, as it were, absorbed in Socrates; where Socrates is not, it cannot be, consequently not in Plato and the other *individua hominis*. This was called the argument of the *homo Socraticus*; and it appears to have been with the view of obviating such time and space difficulties, emphasized in the criticism of Abelard, that William latterly modified his form of expression. But his second position is enveloped in considerable obscurity. Abelard says, "Sic autem correxit sententiam, ut deinceps rem eandem non essentialiter sed individualiter diceret." In other words, he merely sought to avoid the awkward consequences of his own doctrine by substituting "individualiter" for "essentialiter" in his definition. If we are to put a sense upon this new expression, William may probably have meant to recall any words of his which seemed, by locating the universal in the entirety of its essence in each individual to confer upon the individual an independence which did not belong to it—thus leading in the end to the demand for a separate universal for each individual. In opposition to this Nominalistic view, which implied the reversal of his whole position, William may have meant to say that, instead of the universal being multiplied, it is rather the individuals which are reduced to unity in the universal. The species is essentially one, but it takes on individual varieties or accidents. If, however, we are more ill-natured, we may regard the phrase, with Prantl, as simply a meaningless makeshift in extremities; and if so, Abelard's account of the subsequent decline of William's reputation would be explained. But there is in some of the manuscripts the various reading of "indifferent" for "individualiter," and this is accepted as giving the true sense of the passage by Cousin and Rémusat (Hauréau and Prantl taking, on different grounds, the opposite view). According to this reading, William sought to rectify his position by asserting, not the numerical identity of the universal in each individual, but rather its sameness in the sense of indistinguishable similarity. Ueberweg cites a passage from his theological works which apparently bears out this view, for William there expressly distinguishes the two senses of the word "same." Peter and Paul, he says, are the same in so far as they are both men, although the humanity of each is, strictly speaking, not identical but similar. In the Persons of the Trinity, on the other hand, the relation is one of absolute identity.

Theory
of indifference.

Whether this view is to be traced to William or not, it is certain that the theory of "indifference" or "non-difference" (*indifferentia*) was a favourite solution in the Realistic schools soon after his time. The inherent difficulties of Realism, brought to light by the explicit statement of the doctrine and by the criticism of Abelard, led to a variety of attempts to reach a more satisfactory formula. John of Salisbury, in his account of the controversies of these days (*Metalogicus*, ii. 17) reckons up nine different views which were held on the question of the universals, and the list is extended by Prantl (ii. 118) to thirteen. In this list are included of course all shades of opinion, from extreme Nominalism to extreme Realism. The doctrine of indifference as it appears in later writers certainly tends, as Prantl points out, towards Nominalism, inasmuch as it gives up the substantiality of the universals. The universal consists of the non-different elements or attributes in the separate individuals, which alone exist substantially. If we restrict attention to these non-different elements, the individual becomes for us the species, the genus, &c.; everything depends on the point of view from which we regard it. "Nihil omnino est praeter

individuum, sed et illud aliter et aliter attentum species et genus et generalissimum est." Adelard of Bath (whose treatise *De Eodem et Diverso* must have been written between 1105 and 1117) was probably the author or at all events the elaborator of this doctrine, and he sought by its means to effect a reconciliation between Plato and Aristotle:—"Since that which we see is at once genus and species and individual, Aristotle rightly insisted that the universals do not exist except in the things of sense. But, since those universals, so far as they are called genera and species, cannot be perceived by any one in their purity without the admixture of imagination, Plato maintained that they existed and could be beheld beyond the things of sense, to wit, in the divine mind. Thus these men, although in words they seem opposed, yet held in reality the same opinion." Prantl distinguishes from the system of indifference the "status" doctrine attributed by John of Salisbury to Walter of Mortagne (*ob. 1174*), according to which the universal is essentially united to the individual, which may be looked upon, e.g., as Plato, man, animal, &c., according to the "status" or point of view which we assume. But this seems only a different expression for the same position, and the same may doubtless be said of the theory which employed the outlandish word "maneries" (*Fr. manière*) to signify that genera and species represented the different ways in which individuals might be regarded. The concessions to Nominalism which such views embody make them representative of what Hauréau calls "the Peripatetic section of the Realistic school."

Somewhat apart from current controversies stood the teaching of the school of Chartres, humanistically nourished on the study of the ancients. Bernard of Chartres (*ob. 1167*), called by John of Salisbury "perfectissimus inter Platonicos seculi nostri," taught at Chartres in the beginning of the 12th century, when William was still lecturing at St Victor. He endeavoured, according to John of Salisbury, to reconcile Plato and Aristotle; but his doctrine is almost wholly derived from the former through St Augustine and the commentary of Chalcidius. The *universalia in re* have little place in his thoughts, which are directed by preference to the eternal exemplars as they exist in the supersensible world of the divine thought. His *Megacosmos* and *Microcosmos* are little more than a poetic gloss upon the *Timaeus*. William of Conches, a pupil of Bernard's, was more eclectic in his views, and devoting himself to psychological and physiological questions, was of less importance for the specific logico-metaphysical problem. But Gilbert de la Porrée (Gilbertus Porretanus, or, from his birthplace, Poitiers, also called Pictaviensis, 1075-1154), who was also a pupil of Bernard's, and who was afterwards for about twenty years chancellor of the cathedral of Chartres before he proceeded to lecture in Paris, is called by Hauréau the most eminent logician of the Realistic school in the 12th century and the most profound metaphysician of either school. The views which he expressed in his commentary on the pseudo-Boetian treatise, *De Trinitate*, are certainly much more important than the mediating systems already referred to. The most interesting part of the work is the distinction which Gilbert draws between the manner of existence of genera and species and of substances proper. He distinguishes between the *quod est* and the *quo est*. Genera and species certainly exist, but they do not exist in their own right as substances. What exists as a substance and the basis of qualities or forms (*quod est*) may be said *substare*; the forms on the other hand by which such an individual substance exists qualitatively (*quo est*) *subsistunt*, though it cannot be said that they *substant*. The intellect collects the universal, which exists but not

as a substance (*est sed non substantia*), from the particular things which not merely are (*sunt*) but also, as subjects of accidents, have substantial existence (*substantia*), by considering only their substantial similarity or conformity. The universals are thus forms inherent in things—"native forms," according to the expression by which Gilbert's doctrine is concisely known. The individual consists of an assemblage of such forms; and it is individual because nowhere else is exactly such an assemblage to be met with. The form exists concretely in the individual things (*sensibilis in re sensibili*), for in sensible things form and matter are always united. But they may be conceived abstractly or non-sensuously by the mind (*sed mente concipitur insensibilis*), and they then refer themselves as copies to the Ideas their divine exemplars. In God, who is pure form without matter, the archetypes of material things exist as eternal immaterial forms. In this way Gilbert was at once Aristotelian and Platonist. The distinctions made by him above amount to a formal criticism of categories, and in the same spirit he teaches that no one of the categories can be applied in its literal sense to God. Gilbert was also the author of a purely logical work, *De Sex Principiis*, in which he criticized the Aristotelian list of the ten categories, drawing a distinction between the first four—substance, quality, quantity, and relation (*i.e.*, according to Gilbert, indeterminate or potential relation)—which he called *formae inhaerentes*, and the remaining six, which he maintained belong to an object only through its actual relation to other objects (*respectu alterius*). To these six, therefore, he gave the name of *formae assistentes*. This distinction was adopted in all the schools till the 16th century, and the treatise *De Sex Principiis* was bound up with the *Isagoge* and the *Categorics*.

Abelard. But by far the most outstanding figure in the controversies of the first half of the 12th century is Abelard (Petrus Abelardus, also called Palatinus from Pallet, the place of his birth, 1079–1142). Abelard was successively the pupil of Roscellinus and William of Champeaux, and the contrast between their views doubtless emphasized to him at an early period the extravagances of extreme Nominalism and extreme Realism. He speedily acquired a reputation as an unrivalled dialectician, the name Peripateticus being bestowed upon him in later years to signify this eminence. Almost before he had emerged from the pupillary state, he came forward in public as the acute and vehement critic of his masters' doctrines, especially that of William of Champeaux, whom Abelard seems ultimately to have superseded in Paris. About Abelard's own system there is far from being perfect unanimity of opinion, some, like Ritter and Erdmann, regarding it as a moderate form of Realism,—a return indeed to the position of Aristotle,—while others, like Cousin, Rémusat, Hauréau, and Ueberweg, consider it to be essentially Nominalistic, only more prudently and perhaps less consistently expressed than was the case with Roscellinus. His position is ordinarily designated by the name Conceptualism, though there is very little talk of concepts in Abelard's own writings; and Conceptualism, Hauréau tells us, "c'est le nominalisme raisonnable." There can be no doubt, at all events, that Abelard himself intended to strike out a *via media* between the extreme Nominalism of Roscellinus and the views of the ordinary Realists. As against Realism he maintains consistently *Res de re non praedicatur*; genera and species, therefore, which are predicated of the individual subject, cannot be treated as things or substances. This is manifestly true, however real the facts may be which are designated by the generic and specific names; and the position is fully accepted, as has been seen, by a Realist like Gilbert, who perhaps adopted it first from Abelard. Abelard also perceived that Realism, by separ-

ating the universal substance from the forms which individualize it, makes the universal indifferent to these forms, and leads directly to the doctrine of the identity of all beings in one universal substance or matter—a pantheism which might take either an Averroistic or a Spinozistic form. Against the system of non-difference Abelard has a number of logical and traditional arguments to bring, but it is sufficiently condemned by his fundamental doctrine that only the individual exists in its own right. For that system still seems to recognize a generic substance as the core of the individual, whereas, according to Cousin's rendering of Abelard's doctrine, "only individuals exist, and in the individual nothing but the individual." The individual Socrates may be said to be made Socrates by the form *Socratitas*; now "the subject of this form is not humanity in itself but that particular part of human nature which is the nature of Socrates. The matter in the individual Socrates is therefore quite as much individual as his form" (p. clxxiv.). Holding fast then on the one hand to the individual as the only true substance, and on the other to the traditional definition of the genus as that which is predicated of a number of individuals (*quod praedicatur de pluribus*), Abelard declared that this definition of itself condemns the Realistic theory; only a name, not a thing, can be so predicated,—not the name, however, as a *flatus vocis* or a collection of letters, but the name as used in discourse, the name as a sign, as having a meaning—in a word, not *vox* but *sermo*. *Sermo est praedicabilis*. By these distinctions Abelard hoped to escape the consequences of extreme Nominalism, from which, as a matter of history, his doctrine has been distinguished under the name of Conceptualism, seeing that it lays stress not on the word as such but on the thought which the word is intended to convey. Moreover, Abelard evidently did not mean to imply that the distinctions of genera and species are of arbitrary or merely human imposition. His favourite expression for the universal is "quod de pluribus natum est praedicari" (a translation of Aristotle, *De Interpretatione*, 7), which would seem to point to a real or objective counterpart of the products of our thought; and the traditional definitions of Boetius, whom he frequently quotes, support the same view of the concept as gathered from a number of individuals in virtue of a real resemblance. What Abelard combats is the substantiation of these resembling qualities, which leads to their being regarded as identical in all the separate individuals, and thus paves the way for the gradual undermining of the individual, the only true and indivisible substance. But he modifies his Nominalism so as to approach, though somewhat vaguely, to the position of Aristotle himself. At the same time he has nothing to say against the Platonic theory of *universalia ante rem*, the Ideas being interpreted as exemplars, existing in the divine understanding before the creation of things. Abelard's discussion of the problem (which it is right to say is on the whole incidental rather than systematic) is thus marked by an eclecticism which was perhaps the source at once of its strength and its weakness. Rémusat characterizes his teaching as displaying "rather an originality of talent than of ideas," and Prantl says that in the sphere of logic his activity shows no more independence than that of perhaps a hundred others at the same time. But his brilliant ability and restless activity made him the central figure in the dialectical as in the other discussions of his time. To him was indirectly due, in the main, that troubling of the Realistic waters which resulted in so many modifications of the original thesis; and his own somewhat eclectic ruling on the question in debate came to be tacitly accepted in the schools, as the ardour of the disputants began to abate after the middle of the century.

Abelard's application of dialectic to theology betrayed the Nominalistic basis of his doctrine. He zealously combated the Trithemism of Roscellinus, but his own views on the Trinity were condemned by two councils (at Soissons in 1121 and at Sens in 1140). Of the alternatives—three Gods or *una res*—which his Nominalistic logic presented to Roscellinus, Roscellinus had chosen the first; Abelard recoiled to the other extreme, reducing the three Persons to three aspects or attributes of the Divine Being (Power, Wisdom, and Love). For this he was called to account by Bernard of Clairvaux (1091–1153), the recognized guardian of orthodoxy in France. Bernard declared that he "savoured of Arius when he spoke of the Trinity, of Pelagius when he spoke of grace, and of Nestorius when he spoke of the person of Christ." "While he laboured to prove Plato a Christian, he showed himself a heathen." Nor can it be said that the instinct of the saint was altogether at fault. The germs of Rationalism were unquestionably present in several of Abelard's opinions, and still more so, the traditionalists must have thought, in his general attitude towards theological questions. "A doctrine is believed," he said, "not because God has said it, but because we are convinced by reason that it is so." "Doubt is the road to inquiry, and by inquiry we perceive the truth." ("Dubitando enim ad inquisitionem venimus, inquirendo veritatem percipimus.") The application of dialectic to theology was not new. Anselm had made an elaborate employment of reason in the interest of faith, but the spirit of pious subordination which had marked the demonstrations of Anselm seemed wanting in the argumentations of this bolder and more restless spirit; and the church, or at least an influential section of it, took alarm at the encroachments of Rationalism. Abelard's remarkable compilation *Sic et Non* was not calculated to allay their suspicions. In bringing together the conflicting opinions of the fathers on all the chief points of Christian dogmatics, it may be admitted that Abelard's aim was simply to make these contradictions the starting point of an inquiry which should determine in each case the true position and *via media* of Christian theology. Only such a determination could enable the doctrines to be summarily presented as a system of thought. The book was undoubtedly the precursor of the famous *Books of Sentences* of Abelard's own pupil Peter Lombard and others, and of all the *Summae Theologiae* with which the church was presently to abound. But the anti-nomies, as they appeared in Abelard's treatise, without their solutions, could not but seem to insinuate a deep-laid scepticism with regard to authority. And even the proposal to apply the unaided reason to solve questions which had divided the fathers must have been resented by the more rigid churchmen as the rash intrusion of an over-confident Rationalism.

Realism was in the beginning of the 12th century the dominant doctrine and the doctrine of the church; the Nominalists were the innovators and the especial representatives of the Rationalistic tendency. In order to see the difference in this respect between the schools we have only to compare the peaceful and fortunate life of William of Champeaux (who enjoyed the friendship of St Bernard) with the agitated and persecuted existence of Roscellinus and, in a somewhat less degree, of Abelard. But now the greater boldness of the dialecticians awakened a spirit of general distrust in the exercise of reason on sacred subjects, and we find even a Realist like Gilbert de la Porrée arraigned by Bernard and his friends before a general council on a charge of heresy (at Rheims, 1148). Though Gilbert was acquitted, the fact of his being brought to trial illustrates the growing spirit of suspicion. Those heresy-hunts show us the worst side of St Bernard,

yet they are in a way just the obverse of his deep mystical piety. This is the judgment of Otto of Freising, a contemporary:—"He was, from the fervour of his Christian religion, as jealous as, from his habitual meekness, he was in some measure credulous; so that he held in abhorrence those who trusted in the wisdom of this world and were too much attached to human reasonings, and if anything alien from the Christian faith were said to him in reference to them he readily gave ear to it." The same attitude is maintained by the mystical school of St Victor. Hugo of St Victor (1097–1141) declares that "the uncorrupted truth of things cannot be discovered by reasoning." The perils of dialectic are manifold, especially in the overbold spirit it engenders. Nevertheless Hugo, by the composition of his *Summa Sententiarum*, endeavoured to give a methodical or rational presentation of the content of faith, and was thus the first of the so-called Summists. Richard of St Victor, prior of the monastery from 1162 to 1173, is still more absorbed in mysticism, and his successor Walter loses his temper altogether in abuse of the dialecticians and the Summists alike. The Summists have as much to say against the existence of God as for it, and the dialecticians, having gone to school to the pagans, have forgotten over Aristotle the way of salvation. Abelard, Peter Lombard, Gilbert de la Porrée, and Peter of Poitiers he calls the "four labyrinths of France."

This anger and contempt may have been partly justified by the discreditable state into which the study of logic had fallen. The speculative impulse was exhausted which marks the end of the 11th and the first half of the 12th century,—a period more original and more interesting in many ways than the great age of Scholasticism in the 13th century. By the middle of the century, logical studies had lost to a great extent their real interest and application, and had degenerated into trivial displays of ingenuity. On the other hand, the Summists¹ occupied themselves merely in the systematizing of authorities. The mystics held aloof from both, and devoted themselves to the practical work of preaching and edification. The intellect of the age thus no longer exhibited itself as a unity; disintegration had set in. And it is significant of this that the ablest and most cultured representative of the second half of the century was rather an historian of opinion than himself a philosopher or theologian. John of Salisbury (Johannes Sarisberiensis) was educated in France in the years 1136–48—in Paris under Abelard (who had then returned to Paris, and was lecturing at St Geneviève) and Robert of Melun, at Chartres under William of Conches, then again in Paris under Gilbert de la Porrée and Robert Pulleyn. The autobiographical account of these years contained in his *Metaphysics* is of the utmost value as a picture of the schools of the time; it is also one of the historian's chief sources as a record of the many-coloured logical views of the period. John was a man of affairs, secretary to three successive archbishops of Canterbury, of whom Becket was one. He died in 1180 as bishop of Chartres. When a pupil there, he had imbibed to the full the love of classical learning which was traditional in the school. An ardent admirer of Cicero, he was himself the master of an elegant Latin style, and in his works he often appears

¹ Among these may be mentioned Robert Pulleyn (ob. 1150), Peter Lombard (ob. 1164), called the *Magister Sententiarum*, whose work became the text-book of the schools, and remained so for centuries. Hundreds of commentaries were written upon it. Peter of Poitiers, the pupil of Peter the Lombard, flourished about 1160–70. Other names are Robert of Melun, Hugo of Amiens, Stephen Langton, and William of Auxerre. More important is Alain de Lille (Alanus de Insulis), who died at an advanced age in 1203. His *De Arte seu de Articulis Catholicae Fidei* is a *Summa* of Christian theology, but with a greater infusion than usual of philosophical reasoning. Alanus was acquainted with the celebrated *Liber de Causis*.

more as a cultivated humanist than as a Scholastic divine. His *Policraticus*, it has been said, "is to some extent an encyclopædia of the cultivated thought of the middle of the 12th century." The *Metaphysics* is a defence of logic against those who despised all philosophical training. But John recoiled from the idle casuistry which occupied his own logical contemporaries; and, mindful probably of their aimless ingenuity, he adds the caution that dialectic, valuable and necessary as it is, is "like the sword of Hercules in a pigmy's hand" unless there be added to it the accoutrement of the other sciences. Catholic in spirit rather than dogmatic, John ranks himself at times among the Academics, "since, in those things about which a wise man may doubt, I depart not from their footsteps." The list which he gives of things which may be doubted (*quæ sunt dubitabilia sapienti*) is at once curious and instructive. It is not fitting to subtilize overmuch, and in the end John of Salisbury's solution is the practical one, his charitable spirit pointing him in particular to that love which is the fulfilling of the law.

Extension of knowledge of the works of Aristotle.

The first period of Scholasticism being thus at an end, there is an interval of nearly half a century without any noteworthy philosophical productions. The cause of the new development of Scholasticism in the 13th century was the translation into Latin for the first time of the complete works of Aristotle. An inventory has been given of the scanty stock of works accessible to students in the 9th century. The stock remained unenlarged till towards the middle of the 12th century, when the remaining treatises of the *Organon* became known. Abelard expressly states that he knew only the *Categories* and the *De Interpretatione*; but it seems from passages adduced by Prantl that he must, before the date of his *Dialectica*, have had some indirect and hearsay knowledge of the contents of the other treatises, though without being able himself to consult a copy. The books made their way almost noiselessly into the schools. In 1132 Adam de Petit-Pont, it is stated, made a version of the *Prior Analytics*. Gilbert de la Porrée, who died in 1154, refers to the *Analytics* as currently known. His disciple Otto of Freising carried the *Analytics*, the *Topica*, and the *Soph. Elenchi* from France to Germany, probably in the translation of Boetius. John of Salisbury was acquainted with these and also with newer and more literal translations. But, while the fuller knowledge of the ancient logic resulted in an increase of formal acuteness, it appears to have been of but small benefit to serious studies till there was added to it a knowledge of the other works of Aristotle. This knowledge came to the Scholastics in the first instance through the medium of Arabian philosophy. (See ARABIAN PHILOSOPHY.) The doctrines and the works of Aristotle had been transmitted by the Nestorians to the Arabs, and among those kept alive by a succession of philosophers, first in the East and afterwards in the West. The chief of these, at least so far as regards the influence which they exerted on mediæval philosophy, were Avicenna, Avempace, and Averroes. The unification by the last-mentioned of Aristotle's active intellect in all men, and his consequent denial of individual immortality are well known. The universal human intellect is made by him to proceed from the divine by a series of Neoplatonic emanations. In the course of the 12th century the writings of these men were introduced into France by the Jews of Andalusia, of Marseilles, and Montpellier. "These writings contained," says Hauréau, "the text of the *Organon*, the *Physics*, the *Metaphysics*, the *Ethics*, the *De Anima*, the *Parva Naturalia*, and a large number of other treatises of Aristotle, accompanied by continuous commentaries. There arrived besides by the same channel the glosses of Theophrastus, of Simplicius, of Alexander

of Aphrodisias, of Philoponus, annotated in the same sense by the same hands. This was the rich but dangerous present made by the Mussulman school to the Christian" (i. 382). To these must be added the Neoplatonically inspired *Fons Vitæ* of the Jewish philosopher and poet Ibn Gebirol, whom the Scholastics cited as Avicbron and believed to be an Arabian.

By special command of Raimund, archbishop of Toledo, the chief of these works were translated from the Arabic through the Castilian into Latin by the archdeacon Dominicus Gonzalvi with the aid of Johannes Avendeath (=ben David), a converted Jew, about 1150. About the same time, or not long after, the *Liber de Causis* became known—a work destined to have a powerful influence on Scholastic thought, especially in the period immediately succeeding. Accepted at first as Aristotle's, and actually printed in the first Latin editions of his works, the book is in reality an Arabian compilation of Neoplatonic theses. Of a similar character was the pseudo-Aristotelian *Theologia* which was in circulation at least as early as 1200.

The first effects of this immense acquisition of new material were markedly unsettling on the doctrinal orthodoxy of the time. The apocryphal Neoplatonic treatises and the views of the Arabian commentators obscured for the first students the genuine doctrine of Aristotle, and the 13th century opens with quite a crop of mystical heresies. The mystical pantheism taught at Paris by Amalrich of Bena (*ob.* 1207; see AMALRICH and MYSTICISM), though based by him upon a revival of Scotus Erigena, was doubtless connected in its origin with the Neoplatonic treatises which now become current. The immanence of God in all things and His incarnation as the Holy Spirit in themselves appear to have been the chief doctrines of the Amalricans. They are reported to have said, "Omnia unum, quia quicquid est est Deus." About the same time David of Dinant, in a book *De Tomis* (rendered by Albertus *De Divisionibus*), taught the identity of God with matter (or the indivisible principle of bodies) and nous (or the indivisible principle of intelligences)—an extreme Realism culminating in a materialistic pantheism. If they were diverse, he argued, there must exist above them some higher or common element or being, in which case this would be God, nous, or the original matter. The spread of the Amalrican doctrine led to fierce persecutions, and the provincial council which met at Paris in 1209, after condemning the heresies of Amalrich and David, expressly decreed "that neither the books of Aristotle on natural philosophy, nor commentaries on the same, should be read, whether publicly or privately, at Paris." In 1215 this prohibition is renewed in the statutes of the university of Paris, as sanctioned by the papal legate. "Et quod legant libros Aristotelis de dialectica tam veteri quam de nova. . . Non legantur libri Aristotelis de metaphysica et naturali philosophia, nec summa de iisdem." Permission is thus given to lecture on the logical books, both those which had been known all along and those introduced since 1128, but the veto upon the *Physics* is extended to the *Metaphysics* and the summaries of the Arabian commentators. By 1231, however, the fears of the church were beginning to be allayed. A bull of Gregory IX. in that year makes no mention of any Aristotelian works except the *Physics*. As these had been "prohibited by the provincial council for specific reasons," they are not to be used in the university "till such time as they have been examined and purged of all suspicion of errors." Finally, in the year 1254, we find the university officially prescribing how many hours are to be devoted to the explanation of the *Metaphysics* and the principal physical treatises of Aristotle. These dates enable us to measure accurately the stages by

First effects of the new knowledge.

Mendicant friars.

which the church accommodated itself to, and as it were took possession of, the Aristotelian philosophy. Growing knowledge of Aristotle's works and the multiplication of translations enabled students to distinguish the genuine Aristotle from the questionable accompaniments with which he had made his first appearance in Western Europe. Fresh translations of Aristotle and Averroes had already been made from the Arabic by Michael Scot and Hermannus Alemannus, at the instance of the emperor Frederick II.; so that the whole body of Aristotle's works was at hand in Latin translations from about 1210 to 1225. Soon afterwards efforts began to be made to secure more literal translations direct from the Greek. Robert Grosseteste (*ob.* 1253) was one of the first to stir in this matter, and he was followed by Albertus Magnus and Thomas Aquinas. Half a century thus sufficed to remove the ban of the church, and soon Aristotle was recognized on all hands as "the philosopher" *par excellence*, the master of those that know. It even became customary to draw a parallel between him as the *præcursor Christi in naturalibus* and John the Baptist, the *præcursor Christi in gratuitis*.

This unquestioned supremacy was not yielded, however, at the very beginning of the period. The earlier doctors who avail themselves of Aristotle's works, while bowing to his authority implicitly in matters of logic, are generally found defending a Christianized Platonism against the doctrine of the *Metaphysics*. So it is with Alexander of Hales (*ob.* 1245), the first Scholastic who was acquainted with the whole of the Aristotelian works and the Arabian commentaries upon them. He was more of a theologian than a philosopher; and in his chief work, *Summa Universæ Theologiæ*, he simply employs his increased philosophical knowledge in the demonstration of theological doctrines. So great, however, did his achievement seem that he was honoured with the titles of *Doctor Irrefragabilis* and *Theologorum Monarcha*. Alexander of Hales belonged to the Franciscan order, and it is worth remarking that it was the mendicant orders which now came forward as the protagonists of Christian learning and faith and, as it were, reconquered Aristotle for the church. During the first half of the 13th century, when the university of Paris was plunged in angry feuds with the municipality, feuds which even led at one time (1229) to the flight of the students in a body, the friars established teachers in their convents in Paris. After the university had settled its quarrels these continued to teach, and soon became formidable rivals of the secular lecturers. After a severe struggle for academical recognition they were finally admitted to all the privileges of the university by a bull of Alexander IV. in 1253. The Franciscans took the lead in this intellectual movement with Alexander of Hales and Bonaventura, but the Dominicans were soon able to boast of two greater names in Albert the Great and Thomas Aquinas. Still later Duns Scotus and Occam were both Franciscans. Alexander of Hales was succeeded in his chair of instruction by his pupil John of Rochelle, who died in 1271 but taught only till 1253. His treatise *De Anima*, on which Hauréau lays particular stress, is interesting as showing the greater scope now given to psychological discussions. This was a natural result of acquaintance with Aristotle's *De Anima* and the numerous Greek and Arabian commentaries upon it, and it is observable in most of the writers that have still to be mentioned. Even the nature of the universals is no longer discussed from a purely logical or metaphysical point of view, but becomes connected with psychological questions. And, on the whole, the widening of intellectual interests is the chief feature by which the second period of Scholasticism may be distinguished from the first. In some respects

there is more freshness and interest in the speculations which burst forth so ardently in the end of the 11th and the first half of the 12th century. Albert and Aquinas no doubt stood on a higher level than Anselm and Abelard, not merely by their wider range of knowledge but also by the intellectual massiveness of their achievements; but it may be questioned whether the earlier writers did not possess a greater force of originality and a keener talent. Originality was at no time the strong point of the Middle Ages, but in the later period it was almost of necessity buried under the mass of material suddenly thrust upon the age, to be assimilated. On the other hand, the influence of this new material is everywhere evident in the wider range of questions which are discussed by the doctors of the period. Interest is no longer to the same extent concentrated on the one question of the universals. Other questions, says Hauréau, are "placed on the order of the day,—the question of the elements of substance, that of the principle of individuation, that of the origin of the ideas, of the manner of their existence in the human understanding and in the divine thought, as well as various others of equal interest" (i. 420). Some of these, it may be said, are simply the old Scholastic problem in a different garb; but the extended horizon of which Hauréau speaks is amply proved by mere reference to the treatises of Albert and St Thomas. They there seek to reproduce for their own time all the departments of the Aristotelian system.

John of Rochelle was succeeded in 1253 by John Bonaventura, better known as Bonaventura (1221-74), who had also been a pupil of Alexander of Hales. But the fame of "the Seraphic Doctor" is connected more closely with the history of mysticism (see MYSTICISM) than with the main stream of Scholastic thought. Like his master, he defended Plato—or what he considered to be the Platonic theory—against the attacks of Aristotle. Thus he defended the *universalia ante rem* as exemplars existent in the divine intelligence, and censured Aristotle's doctrine of the eternity of the world. Among the earlier teachers and writers of this century we have also to name William of Auvergne (*ob.* 1249), whose treatises *De Universo* and *De Anima* make extensive use of Aristotle and the Arabians, but display a similar Platonic leaning. The existence of intellects in our minds is, he maintains, a sufficient demonstration of the existence of an intelligible world, just as the ideas of sense are sufficient evidence of a sensible world. This archetypal world is the Son of God and true God. Robert Grosseteste, important in the sphere of ecclesiastical politics, has been already mentioned as active in procuring translations of Aristotle from the Greek. He also wrote commentaries on logical and physical works of Aristotle. Michael Scot, the renowned wizard of popular tradition, earned his reputation by numerous works on astrology and alchemy. His connexion with philosophy was chiefly in the capacity of a translator. Vincent of Beauvais (*ob.* 1264) was the author of an encyclopædic work called *Speculum Majus*, in which, without much independent ability, he collected the opinions of ancient and mediæval writers on the most diverse points, transcribing the fragments of their works which he deemed most interesting.

Albertus Magnus introduces us at once to the great age of Scholasticism. Born in Swabia in 1193, he lived to the great age of eighty-seven, dying at Cologne in 1280. The limits of his life thus include that of his still greater pupil Thomas Aquinas, who was born in 1227 and died while still comparatively young in 1274. For this reason, and because the system of Thomas is simply that of Albert rounded to a greater completeness and elaborated in parts by the subtle intellect of the younger man, it will be convenient not to separate the views of master and scholar

William of Auvergne.

Grosseteste.

Michael Scot.